# IAP7 Rec'd PCT/PTO 2 6 JUL 2006

By Express Mail # EV809572047US

FORM PTO-1390 (REV02-2005) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET #: 5367-243PUS

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

U.S. APPLICATION NO.

INTERNATIONAL APPLICATION NO.

PCT/DE2005/000099

international filing date
25 January 2005

PRIORITY DATE CLAIMED 26 January 2004

TITLE OF INVENTION

ij

### Thin-Film LED Comprising a Current-Dispersing Structure

APPLICANT(S) FOR DO/EO/US

Johannes BAUR; Berthold HAHN; Volker HÄRLE; Raimund OBERSCHMID; Andreas WEIMAR

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. [x] This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- 2. [] This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371
- 3. [x] This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
- 4. [x] The US has been elected (Article 31).
- 5. [x] A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. [] is attached hereto (required only if not communicated by the International Bureau).
  - b.[x]has been transmitted by the International Bureau.
  - c. [] is not required, as the application was filed in the United States Receiving Office (RO/US)
- 6. [x] An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. [x] is attached hereto.
  - b.[] has been previously submitted under 35 U.S.C. 154(d)(4).
- 7. [] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. [] are attached hereto (required only if not communicated by the International Bureau).
  - b.[] have been transmitted by the International Bureau.
  - c. [] have not been made; however, the time limit for making such amendments has NOT expired.
  - d.[] have not been made and will not be made.
- 8. [] An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. [] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10.[] A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

### Items 11. to 20. Below concern other document(s) or information included:

- 11.[x]Two Information Disclosure Statements under 37 CFR 1.97 and 1.98.
- 12.[] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13.[x] A preliminary amendment.
- 14.[] An Application Data Sheet under 37 CFR 1.76.
- 15.[] A substitute specification.
- 16.[] A power of attorney and/or address letter.
- 17.[] A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825
- 18.[] A second copy of the published International Application under 35 U.S.C. 154(d)(4).
- 19.[] A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
- 20.[x]Other items or information: (specify): PCT/IB/308, Cover page of published PCT application, Int'l Preliminary Examination Report, Int'l Search Report, Request for Publication of Assignment Information

### IAP12 Rec'd PCT/PTO 2 6 JUL 2006

By Express Mail # EV809572047US

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)					INTERNATIONAL APPLICATION NO. PCT/DE2005/000099				ATTORNEY'S DOCKET NUMBER 5367-243PUS	
The following fees are submitted:								\$	200	
21. [x] Basic National Fee (37 CFR 1.492(a)(1)-(5)) \$300									300	
[x] Examination Fee  If International preliminary examination report is prepared by USPTO and all									200	
claims satisfy the provisions of PCT article 33(1)-(4) \$100									200	
All other situations \$200										
22. [x] Search Fee										
Search fee (37 CFR 1.445(a)(2)) has been paid on the International application to									400	
the USPTO as an International Searching Authority \$100										
International Search Report prepared and provided to the Office \$400										
All other situations \$500								\$	000	
ENTER APPROPRIATE BASIC FEE AMOUNT (total of 21, 22 and 23) =  [] Application Fee for specification and drawing filed in paper over 100 sheets (excluding								-2	900	
sequence listing or computer program listing filed in electronic medium). The fee is \$250 for										
each additional 50 sheets of paper or fraction thereof.										
Total Sheets	Extra Sheets Number of additional 50 or fraction thereof RATE						RATE			
		(round up to a whole number)								
-100 =	/50 =						x \$250	\$		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).								\$		
Claims Number Filed Number Extra RATE										
Total Claims			21 - 20 =	1		x \$ 50.00		\$	50	
Independent Claims		1 - 3 = 0				x \$200.00		\$		
Multiple dependent claim(s) (if applicable) + \$360.00								\$		
TOTAL OF ABOVE CALCULATIONS =								\$		
[] Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.								\$		
SUBTOTAL =								\$	950	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).								\$		
TOTAL NATIONAL FEE =								\$	950	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be								\$		
accompanied by the appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +										
TOTAL FEES ENCLOSEI									\$950	
Amount to be refunded								<u> </u>	\$	
Amount to be charged:									\$	
<ul> <li>a. [x] A check in the amount of \$ 950 to cover the above fees is enclosed.</li> <li>b. [] Please charge my Deposit Account No. 03-2412 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.</li> </ul>										
c. [x] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 03-2412. A duplicate copy of this sheet is enclosed.										
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.										
Thomas I anger					Thomas Langer					

Thomas Langer

Cohen, Pontani, Lieberman & Pavane LLP

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Thomas Langer

Registration Number: 27,264 July 26, 2006

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Attorney Docket # 5367-243PUS

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re National Phase PCT Application of

Johannes BAUR et al.

International Application No.: PCT/DE2005/000099

International Filing Date:

January 25, 2005

For:

Thin-Film LED

Comprising

a Current-

Dispersing Structure

### REQUEST FOR PUBLICATION OF ASSIGNMENT INFORMATION

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SIR:

It is requested that the following assignment information be published as part of the

18 month publication of the present application:

Osram Opto Semiconductors GmbH Wernerwerkstrasse 2 93049 Regensburg, Germany

Respectfully submitted, COHEN, PONTANI, LIEBERMAN & PAVANE LLP

By:

Thomas Langer

Reg. No. 27,264

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26 July 2006